

# Al Ethics Rubric for Employers and Decision Makers – 10 Dimensions of Consideration

#### 10 Dimensions to Guide Responsible AI Use

This rubric is designed for employers and decision makers who are integrating AI into their organization's ecosystems. It offers a practical and holistic lens for assessing the ethical dimensions of AI tools and initiatives in context.

These 10 dimensions are not a checklist, but a design framework—intended to prompt reflection, support better questions, and guide more intentional choices as we navigate the complex intersection of business operations and artificial intelligence.

Each dimension is accompanied by a clear definition and can be explored independently or collectively, depending on where you are in your Aljourney.



#### **Purpose & Value Alignment**

Looks at whether the use of AI is intentional and aligned with the organizational core values and strategic goals. It emphasizes using AI to enhance—not replace—humancentered experiences and ensures that deployments are driven by meaningful outcomes rather than novelty or convenience.



## **User Agency & Consent**

Informs end users when AI is in use, obtains explicit consent where appropriate, and provides meaningful ways for them to influence how AI shapes their experience. This includes the ability to opt out, adjust preferences, and question or override AI-driven decisions that impact their development or progression.



#### **Transparency & Explainability**

Assesses whether end users and stakeholders are communicated with and understand what the Al does, how it works, and why it makes specific decisions or recommendations.



#### Ethical Use of GenAI\*

Encompasses issues of copyright, authorship, accuracy, creativity, and responsible use of large language models (LLMs). It includes practices such as fact-checking Algenerated content, maintaining citation standards, clarifying when and how content is Al-assisted, and ensuring appropriate human-Al collaboration.





#### **Bias & Fairness**

Focuses on identifying and addressing bias in Al systems—whether embedded in training data, algorithms, or design choices—to prevent the marginalization or exclusion of any user group. Fairness ensures that Al tools provide equitable access, representation, and outcomes for all users, regardless of background, ability, or identity.



#### **Vendor Due Diligence**

Focuses on ethical procurement practice —including how vendors are evaluated through contracts, documentation, and alignment with your values. This dimension ensures that third-party tools and providers uphold responsible practices across design, data use, and sustainability.



#### **Data Ethics & Privacy**

Ensures responsible handling of staff's personal data—collecting only what's needed, securing it properly, and being transparent about how it's used, stored, and archived.



#### **Inclusive AI Design**

Focuses on how AI tools must consider diverse user needs—across languages, abilities, contexts, and cultures. Testing for accessibility and relevance is essential.



#### **Accountability & Oversight**

Defines who owns ethical oversight for AI tools. Involves governance mechanisms, review cycles, and documentation of risks and remediation plans.



#### **Environmental Sustainability**

Acknowledges the environmental impact of using AI tools in business, including the energy consumption, carbon footprint, and resource intensity of training, hosting, and running AI systems.

<sup>\*</sup> Generative AI (GenAI) refers to a specific type of AI that can create new content—such as text, images, code, or audio—based on patterns in its training data. Unlike traditional AI systems that classify or predict, GenAI tools such as ChatGPT, Claude, DeepSeek, or Gemini generate original outputs, which raises unique ethical considerations for business operation.



# Using the AI Ethics Rubric as a Design Tool

The **AI Ethics Rubric** isn't just a checklist—it's a *design tool* to help organizations and teams navigate complexity, reflect critically, and take responsible action as they implement AI in business environments.

Traditionally, ethics has been framed as a matter of judgment: Is this right or wrong? But in practice —ethical decisions unfold more like design challenges than binary dilemmas. They're messy, contextual, evolving, and rarely solved with a single right answer.

Inspired by the concept of <a href="Ethics as Design">Ethics as Design</a> by Caroline Whitbeck, this rubric invites you to treat ethics not as a static set of rules, but as a creative, iterative process:

- **Design solutions, don't just identify gaps** Use each dimension to uncover not just what's missing, but how you might redesign or improve the approach. Brainstorm alternatives, weigh tradeoffs, and co-create solutions with end users and stakeholders.
- **Embrace complexity** Ethical issues rarely have one right answer. Use the dimensions to explore tensions (e.g., automation vs. agency, personalization vs. privacy) and find balanced, context-sensitive paths forward.
- **Design iteratively and revisit over time** Like AI systems themselves, your ethical stance should evolve. Revisit this tool often. Adjust as new technologies, insights, and impacts emerge. Use the rubric at different stages: product procurement, design, implementation, and review.

### **How to Begin:**

- Pick the project or AI tool you're evaluating.
- Go through each of the 10 dimensions (if applicable, feel free to adapt the dimensions to your project as you see fit).
- Ask: Where does this project land—Emerging, Developing, or Leading Practice?
- Use your insights to refine, reframe, or re-align the initiative in ways that balance equity, trust, and purpose.



## The AI Ethics Rubric

The AI Ethics Rubric is a practical reflection tool designed to help teams evaluate AI projects across 10 key dimensions. Each dimension can be reviewed at one of three levels:

- Emerging: Minimal ethical consideration or unstructured practice
- Developing: Some safeguards or practices are in place, but inconsistently applied
- Leading: Ethical principles are fully integrated, proactive, and continuously improved

These levels are not about scoring organizations, but about supporting thoughtful assessment of projects or initiatives. Some teams may intentionally begin in the "Emerging" phase due to experimentation or capacity; others may aim for "Leading" as a long-term aspiration. The value lies in the intentional reflection and design choices, not the label itself. Use the rubric to reflect, ask better questions, design responsibly, and guide Al implementation that aligns with your values and your staffs' needs.

	Dimension		Emerging	Developing	Leading
1.		pose &	Al is adopted without clear	Al tools are somewhat aligned	Al initiatives are purpose-driven,
	( <b>( )</b> Valu	ue	alignment to business goals,	with organizational goals and	user-centered, and fully aligned
	Alig	nment	organization's values, or strategy.	values, but not consistently	with organizational mission and
	)			evaluated for fit or impact.	goals.
2.	Trans	sparency &	Al decisions and processes are	Basic explanations are provided,	Al operations are transparent,
	Expla	ainability	opaque to end users and	but transparency is inconsistent or	with clear, accessible
		•	stakeholders.	overly technical.	explanations for outputs and
					recommendations.
3.	✓ Bias	& Fairness	No formal process exists to	Initial efforts to reduce bias exist,	Bias is continuously monitored,
	1.43		identify or address bias in Al	such as limited testing or content	with structured audits and
	Alle		systems or data.	review.	inclusive design baked into AI
					development.
4.	Data I	Ethics &	Data collection is excessive, ad	Privacy policies are in place but	Data use is limited to as needed,
	Privac	cv	hoc, or conducted at scale with	not fully communicated or applied	consent-based, and staff-
		•	little attention to consent, usage,	across use cases.	centered, with robust protection
			or privacy protection.		policies.



5. 6.	Accountability & Oversight  User Agency &	No designated oversight or governance for ethical Al implementation.  Users are not informed about Al	Some ethical review occurs, often informally or post-implementation.  Users are informed about AI but	Ethical governance is established with clear roles, accountability, and proactive monitoring. Users can opt in or out, modify
	Consent	use and have no control or options.	have limited control or recourse.	how AI interacts with them, and challenge or override AI decisions.
7.	Ethical Use of GenAI	GenAl is used without guidance on attribution, accuracy, or appropriateness.	Basic guidelines are in place for using GenAI, but practices vary widely.	GenAl use is transparent, fact- checked, attributed when appropriate, and reinforces creativity and originality.
8.	Vendor Due Diligence	Vendors are selected based on functionality, brand recognition, or price, with no ethics review.	Vendors are asked about ethics, but due diligence is inconsistent, limited, or lacks depth.	Vendors are evaluated using pre-vetted ethical criteria from diverse stakeholder groups, including transparency, bias mitigation, and sustainability.
9.	Inclusive Al Design	Al tools are not evaluated for accessibility, inclusivity, cultural context, or staff diversity.	Some inclusive design practices are adopted, but not across all tools or user groups.	Al tools are designed and tested for accessibility, inclusion, and equity from the start, and it is an ongoing evaluation practice.
10.	Environmental Sustainability	No consideration is given to Al's energy use, opportunity cost, or environmental impact.	Environmental impact is acknowledged but not systematically assessed or tracked.	Al tools are chosen and configured with environmental impact in mind, favoring lowenergy models and green hosting.